PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Satoru YOKOMIZO, et al.

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| Group Art Unit | : | Unassigned |
| Application No. | : | 10/019,543 |
| Examiner | : | Unassigned |
| Filed | : | 03 January 2002 |
| Docket No. | : | 12218/3 |
| For | : | TRANSFORMANT AND PROCESS FOR PRODUCING POLYESTER BY USING THE SAME |

Information Disclosure Statement Under
37 C.F.R. 1.97 (b)(3)

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

This information Disclosure Statement is filed pursuant to 37 CFR § 1.97 (b)(3), (*i.e.*, before the mailing of a First Office Action on the merits). The attention of the Patent and Trademark Office is hereby directed to the reference listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

It is believed that no fee is required. However, the Office is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 11-0600.

Respectfully submitted,

KENYON & KENYON

Date: March 28, 2002

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| FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office | Attorney Docket No. 12218/3 | Serial No. 10/019,543 |
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | APPLICANT Satoru YOKOMIZO, et al. | |
| | Filing Date 03 January 2002 | Group Unassigned |



**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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**FOREIGN PATENT DOCUMENTS**

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
|---|---|---|---|---|---|---|---|
| | | 10-108682 | 4/28/1998 | Japan | | | yes |
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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

| EXAMINER | |
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| | *Yves Poirier, et al.*, "Synthesis of Polyhydroxyalkanoate in the Peroxisome of *Saccharomyces cerevisiae* by Using Intermediates of Fatty Acid β-Oxidation", *Applied and Environmental Microbiology,* Vol. 67, No. 11, pp. 5254-5260 (November 2001). |
| | *Timothy A. Leaf, et al.*, "*Saccharomyces cerevisiae* expressing bacterial polyhydroxybutyrate synthase produces poly-3-hydroxybutyrate", *Microbiology 142*: pp. 1169-1180 (1996). |
| | *Toshiaki Fukui, et al.,* "Co-expression of polyhydroxyalkanoate synthase and (R)-enoyl-CoA hydratase genes of *Aeromonas caviae* establishes copolyester biosynthesis pathway in *Escherichia coli*", *FEMS Microbiology Letters 170* pp. 69-75 (1999). |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.